

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Erik A. Knight  
Serial No.: 10/828,377  
Filing Date: April 20, 2004  
Title: System and Method for Reporting Innovation Data

**Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**Information Disclosure Statement**

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. No representation is made that a search has been made, that these references are material to the patentability of the present invention, or that these references qualify as prior art. The present Application was filed after June 30, 2003. Therefore, under the July 11, 2003, waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, copies of U.S. Patents and U.S. Patent Application Publications listed in the attached PTO-1449 form are not enclosed.

This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. § 1.97(b).

Although Applicant believes no fee is due, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 05-0765 of Electronic Data Systems Corporation.

Respectfully submitted,  
BAKER BOTTS L.L.P.



Keiko Ichiye  
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Attorneys for Applicant

Date: April 21, 2004

Correspondence Address:

Customer No. **35005**

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2
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**Complete if Known**

Application Number	10/828,377
Filing Date	April 20, 2004
First Named Inventor	Erik A. Knight
Art Unit	
Examiner Name	
Attorney Docket Number	014208.1642 (93-03-020)

[illegible][illegible]

Examiner Signature	/Jonathan Sterrett/ (08/31/2008)	Date Considered	
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\*EXAMINER: Initial: If reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (07-05)

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		Filing Date	April 20, 2004
		First Named Inventor	Erik A. Knight
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		Examiner Name	
Sheet 2	of 2	Attorney Docket Number 014208.1642 (93-03-020)	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		IBM, "Workplace Dashboard Framework V2.6 and Workplace dashboards," IBM U.S. Software Announcement 206-065, 13 pages, 03/28/2006	
		C.W. WICK et al., "A System and Method for Monitoring Intellectual Capital," U.S. Appln. Serial No. 10/261,389 (1553), pending, 37 pages, 09/30/2002	

Examiner Signature		Date Considered	
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